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PATENT
Attorney Docket No.: IBN.5190CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Steven J. Schwartz, et. al. Examiner: not yet assigned
Serial No.: 09/400,927 ✓ Group Art Unit: not yet assigned
Filing Date: September 22, 1999 ✓
Title: APPARATUS AND METHOD FOR SCALING A SWITCHING FABRIC IN A NETWORK SWITCHING NODE

CERTIFICATE OF MAILING UNDER 37 U.S.C. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Nov 7, 2000
Date

Lizabeth M. Sumner
Lizabeth M. Sumner

Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form PTO 1449;
3. Copies of cited references AA, AL, and AR; and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 19-0079. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: November 7, 2000
Samuels, Gauthier & Stevens, LLP
225 Franklin Street, Suite 3300
Boston, MA 02110
Telephone: (617) 426-9180, Ext. 149
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Steven M. Mills
Steven M. Mills
Registration Number 36,610
Attorney for Applicants

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Assistant Commissioner for Patents
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, and
- ☐ a petition under 37 CFR 1.97(d) (2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).

Applicant(s): Steven A. Schwartz, et. al.
Serial No.: 09/400,927

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Certification Under 37 1.97(e)

- ☒ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☒ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 CFR 1.98(a)(3) for references _____ is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

Method of payment:

- ☐ Enclosed is a check in the amount of _____.



Applicant(s): Steven A. Schwartz, et. al.
Serial No.: 09/400,927

- ☐ Please charge Deposit Account of 19-0079 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 19-0079.

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Respectfully submitted,

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